

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Katsuhiko NAKADE
Title: NETWORK-BASED SECURITIES TRANSACTION SYSTEM
Appl. No.: Unassigned
Filing Date: July 30, 2001
Examiner: Unassigned
Art Unit: Unassigned

UTILITY PATENT APPLICATION
TRANSMITTAL

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Katsuhiko NAKADE

Enclosed are:

- ☒ [X] Specification, Claim(s), and Abstract (32 pages).
- ☒ [X] Formal drawings (8 sheets, Figures 1-7).
- ☒ [X] Declaration and Power of Attorney (2 pages).
- ☒ [X] Assignment of the invention to NEC CORPORATION.
- ☒ [X] Assignment Recordation Cover Sheet.
- ☒ [X] Claim for Convention Priority w/one priority document.
- ☒ [X] Information Disclosure Statement.
- ☒ [X] Form PTO-1449 with copy of 1 listed reference.

09/916487
07/30/01
Jc872 U.S. PTO

07/30/01
J1051 U.S. PTO

09/916487-0730001

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	13	- 20	= 0	x \$18.00	= \$0.00
Independents:	4	- 3	= 1	x \$80.00	= \$80.00
If any Multiple Dependent Claim(s) present:				+ \$270.00	= \$0.00
				SUBTOTAL:	= \$790.00
[] Small Entity Fees Apply (subtract ½ of above):					= \$0.00
				TOTAL FILING FEE:	= \$790.00
Assignment Recordation Fee:				+ \$40.00	= \$40.00
				TOTAL FEE	= \$830.00

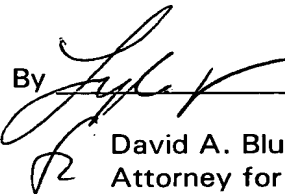
- [X] A check in the amount of \$830.00 to cover the filing fee and fee for recordation of Assignment is enclosed.
- [] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date July 30, 2001

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